#### **ORIGINAL PAPER**



# Impact of Subsistence on Demographic Patterns in Bronze Age to Early Iron Age in Northern China

Simei Zhu<sup>1,2,3</sup> • Hong Zhu<sup>1</sup>

Received: 26 December 2015 / Accepted: 3 May 2016 / Published online: 23 August 2018 © Research Center for Chinese Frontier Archaeology (RCCFA) and Springer Nature Singapore Pte Ltd. 2018

#### Abstract

Demography is the study of human population dynamics including deaths, births, and migrations. Statistical analysis can help researchers understand paleodemographic patterns of health, mortality, and morbidity among ancient populations. Generally speaking, population is affected by both the natural environment and social conditions. This research is based on six archaeological cemetery sites located in Heilongjiang, Inner Mongolia, and Shanxi Provinces in northern China, temporally spanning from the Bronze Age to the early Iron Age (about 1000 BC–200 BC). This study demonstrates how subsistence patterns influence the population in the north of ancient China. The results show that the mortality rate of the population groups that relied on animal husbandry peaks much earlier than among the agricultural groups; the estimated life expectancy of members of the agricultural economy group is longer than that of those in the animal husbandry group; and the animal husbandry group shows a relatively larger sex imbalance.

Keywords Bronze age China · Iron age China · Paleodemography · Subsistence

# 1 Introduction

Paleodemographic research based on human skeletal remains can help us explore changing relationships between population dynamics, the natural environment, and the social conditions in different archeological cultures or populations across different time periods and geographic regions or environmental zones, or between different modes of subsistence. Through the study of sex ratio, peak age of death, and life-span, we can estimate the ancient inhabitants' living conditions (Nagaoka et al. 2013; White 2014; Espinoza and Morfin 2015; Walter and DeWitte 2017). Furthermore, it aids a better understanding of

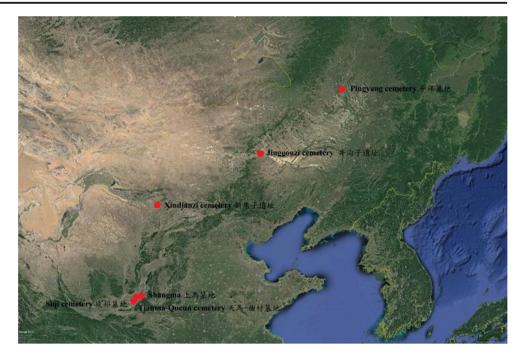
Simei Zhu simeizhu13@hotmail.com

- <sup>1</sup> Research Center for Chinese Frontier Archaeology of Jilin University, Changchun 130012, China
- <sup>2</sup> Department of Archaeology and Anthropology, University of Chinese Academy of Sciences, Beijing 100049, China
- <sup>3</sup> Key Laboratory of Vertebrate Evolution and Human Origins of Chinese Academy of Sciences, Institute of Vertebrate Palaeontology and Palaeoanthropology, Chinese Academy of Sciences, Beijing 100044, China

local funeral customs, ancient people's views of life and death, and other archaeological topics. At present, the use of demographic studies in Chinese archaeological research mainly focuses on descriptions of a single site and not comparative analysis among different ancient inhabitant groups. The purpose of this study is to combine methods of physical anthropology and demography in order to provide interpretations of the interrelations between population and subsistence patterns by analyzing human skeletons from different cemeteries. As population dynamics can be influenced by many factors, in order to control for the variable of chronological change, we here have chosen cemeteries in northern China from the same period, namely the Bronze Age to Early Iron Age.

# 2 Material and methods

The demographic data used in this study were collected from six archaeological sites in northern China, temporally spanning from the Bronze Age to the early Iron Age (about 1000 BC-200 BC), including Pingyang 平洋 cemetery (Heilongjiang 1990) in Heilongjiang 黑龙江 Province, Jinggouzi 井沟子 cemetery (Jilin 2004) and Xindianzi 新店子 cemetery (Zhang et al. 2008) in Inner Mongolia 内蒙古, and Shangma 上马 cemetery (Shangma 1990), Siqi 虒祁 cemetery Fig. 1 Map showing the locations of the six Bronze Age through early Iron Age cemetery sites studied



(Wang 2014), and Tianma-Qucun 天马-村 cemetery (Pan 2000) in Shanxi 山西 Province (Fig. 1).

# with 135 males and 102 females. The identification rate is 79.53%, and the sex ratio is 1.32:1 (Pan 1990).

## 2.1 The Pingyang site

The Pingyang site is located in the southwest of Heilongjiang Province, and is comprised of the Zhuanchang 砖厂and Zhandou 战斗 cemeteries. The original field archaeologists dated the site from the Bronze Age to the early Iron Age (Heilongjiang 1990), while some other scholars think the end date of the site might date as late as the Hanshu 汉书 Period II Culture, around 200 BC (Pan and Lin 2002). From analysis of archaeological materials such as unearthed tools, weapons, and animal bones, it has been speculated that the ancient local populations mainly relied on animal husbandry, together with fishing and hunting (Heilongjiang 1990). Because of the limited preservation conditions of the human skeletal remains from the Zhandou site, data are only analyzed here from the Zhuanchang cemetery. A total of 97 tombs were excavated, and the minimum number of individuals (MNI) is 298. Of these, 237 individuals were identified to a sex group,

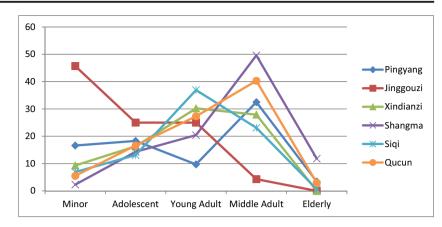
#### 2.2 The Jinggouzi site

The Jinggouzi site is located in eastern Inner Mongolia and includes two cemetery groups (east and west) (Jilin 2004). Through analysis of tomb construction patterns and burial objects, this site has been dated from the Bronze Age to the early Iron Age (from the early Spring and Autumn period to the Warring States period) (Jilin 2004). A high content of  $\delta^{15}$ N in bones suggests a relative heavy reliance on an animal protein-based diet (Zhang et al. 2008). Animal bones and artifacts made from bones and clam shells found in these tombs demonstrate a subsistence strategy based on animal husbandry together with fishing and hunting (Chen 2007). A total of 150 individuals were identified to a sex group, including 40 males and 27 females. The identification rate is 44.67%, and the sex ratio is 1.5:1 (Zhu and Liu 2014).

 Table 1
 Comparison of mortality rates from the six cemetery groups in this study (unit: %)

Cemetery	Minor (0-14)	Adolescent (15-23)	Young Adult (24–35)	Middle Adult (36–55)	Elderly (56-)
Pingyang	16.6	18.3	9.7	32.5	3.4
Jinggouzi	45.7	25.0	25.0	4.3	0.0
Xindianzi	9.3	16.3	30.2	27.9	0.0
Shangma	2.3	14.3	20.4	49.6	11.8
Siqi	6.9	13.1	36.9	23.1	0.6
Qucun	5.5	16.6	27.3	40.3	2.9

**Fig. 2** Trend lines of age-specific mortality rates for the six cemetery groups in this study (y-axis: % of total)



#### 2.3 The Xindianzi cemetery

The Xindianzi cemetery, located in central Inner Mongolia (Zhang 2005), dates to the early Iron Age (from the middle Spring and Autumn period to the Warring States period) (Xindianzi 2009). Isotopic analysis of cow, horse, and sheep bones provides evidence for well-developed animal husbandry (Zhang 2005). Of the 43 human individuals excavated from this cemetery, 38 individuals were identified to a sex group, with 26 males and 12 females. The identification rate is 88.37%, and the sex ratio is 2.2:1 (Zhang et al. 2008).

#### 2.4 The Shangma cemetery

The Shangma cemetery is located in the southern area of Houma, Shanxi Province. Burial objects indicate that the site dates to the Bronze Age (Western Zhou to early Warring States periods) (Shangma 1990). Within the 1373 tombs excavated, 1059 individuals were found in this cemetery. Of this total, 1034 individuals were identified to a sex group, with 548 males and 486 females. The sex ratio is 1.1:1 (Pan 1994).

# 2.5 The Siqi cemetery

Located in southwestern Houma, Shanxi Province, the Siqi cemetery has been dated to the Iron Age (from

the Warring States period to the Han Dynasty) based on sacrificial pits. From the excavated 173 tombs, 160 individuals were identified. 133 individuals were identified to a sex group, including 64 males and 69 females. The identification rate is 83.13%, and the sex ratio is 0.93:1 (Wang 2014).

#### 2.6 The Tianma-Qucun cemetery

The Tianma-Qucun cemetery is located in southern Shanxi Province. Burial goods date the site to the Bronze Age and early Iron Age (from the Western Zhou to the Spring and Autumn period). From the 625 tombs excavated, 524 individuals were recovered. Those identifiable to a sex category include 251 males and 220 females. The sex ratio is 1.14:1 (Pan 2000).

Based on the geographic locations and subsistence patterns, these six cemeteries can be classified into two groups:

- 1. Those with a predominantly animal husbandry based subsistence strategy: Pingyang cemetery, Jinggouzi cemetery, and Xindianzi cemetery, all of which are located in northeastern China.
- 2. Those with a predominantly agriculturally based subsistence strategy: Shangma cemetery, Siqi

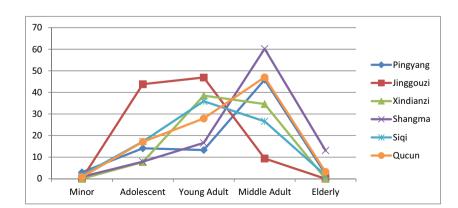
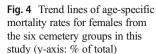
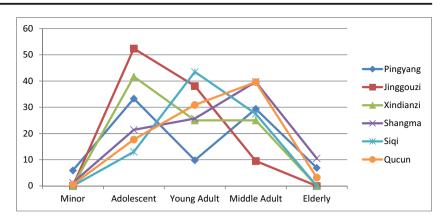


Fig. 3 Trend lines of age-specific mortality rates for males from the six cemetery groups in this study (y-axis: % of total)





cemetery, and Tianma-Qucun cemetery, all located in Shanxi Province.

All of the samples mentioned above were identified to sex and age estimations using the standards established by Wu et al. (1984) and Shang (1985). The age range is divided here into minors (0–14 years old), adolescents (15–23 years old), young adults (24– 35 years old), middle adults (36–55 years old), and elderly (56 years old and up), as is commonly done in the Chinese anthropological research tradition.

# **3 Results and discussion**

### 3.1 Mortality rates

Statistical analysis of age-specific mortality rates is an important method in paleodemographic research. It can be used to study the age-at-death distribution in a group and allows for comparative analysis between groups. The mortality rate in each age group is the number of individuals within that age group divided by total number of individuals.

It can be seen from Table 1 and Fig. 2 that the animal husbandry group (the Pingyang, Jinggouzi, and Xindianzi cemeteries) shows a higher mortality rate in the minor age group. Also, the mortality peak for the Xindianzi and Siqi groups is young adulthood, which is earlier than the other

**Table 2** Comparison of estimated life expectancies among fourpopulation groups analyzed in this study (unit: years). Siqi andXindianzi are not included as data are lacking in the original reports

Cemetery	Male	Female	Total
Pingyang	36.89	32.16	32.54
Jinggouzi	26.41	27.26	16.54
Shangma	41.72	36.57	39.09
Qucun	35.49	35.25	34.19

groups. In order to explain this phenomenon, we compared mortality rates among males and females separately (Figs. 3 and 4). Because the sex of minors cannot be easily determined, the mortality rate of minors by sex could not be determined.

Figure 3 shows that the male mortality rate peaked in young adulthood in the Jinggouzi, Xindianzi, and Siqi groups, which may contribute to the advanced peak for age at death at the population level. Considering evidence of skeletal trauma (e.g., a bronze arrowhead embedded in a pelvis in the Jinggouzi cemetery) and the historical-geographical context (e.g., the Siqi cemetery is located at the boundary of the Wei  $\mathfrak{R}$  and Qin  $\mathfrak{R}$  states during the Warring States period [Fan et al. 2002]), warfare could be a reasonably assumed causal factor to explain the increased mortality among young people. On the other hand, the male inhabitants of the animal husbandry group died at a younger age compared to those in the agriculture group. This may be the result of a comparatively more stable agricultural economy making it possible for the residents to live a longer life.

Figure 4 illustrates the female mortality rates among the different cemetery groups. Females in the Jinggouzi, Xindianzi, and Pingyang cemetery groups that mainly subsisted on animal husbandry died in adolescence, earlier than the agriculture groups. The living conditions for groups depending on animal husbandry might have been harder than those of agricultural groups. Additionally, it is possible that poor medical conditions contributed to a larger number of young women dying in childbirth or postpartum.

#### 3.2 Estimated life expectancy

Life expectancy is a statistical measure of how long a person is expected to live. It is also an important reference index for evaluating the level of people's health. An abridged life table is commonly used to estimate ancient people's life expectancy. Archaeological research on life expectancy assumes a static population pattern in which all individuals are, for statistical purposes, treated as being born at the same time, and people are classified by their age of death. **Fig. 5** Bar chart of estimated life expectancies among four population groups analyzed in this study. Siqi and Xindianzi are not included as data are lacking in the original reports

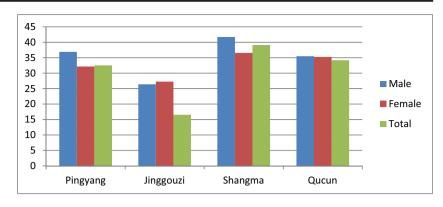


Table 2 and Fig. 5 illustrate that members of the agriculture groups (Shangma and Qucun) have a longer estimated life expectancy than those in the animal husbandry group (Pingyang and Jinggouzi), and the differences in male estimated life expectancy may be the main reason. Assuming those who died outside the community were brought back and buried in the community cemetery, these may be due to the differences in the division of labor between male and female and in lifestyle under different subsistence strategies (e.g., in the animal husbandry group, males might need to go out to pasture or hunt, which may put them in danger). We also note that the inability to estimate sex in the minor group may result in underestimation of life expectancies.

#### 3.3 Sex ratio

Sex ratio is a way to study population sex structure. From a biological perspective, the sex ratio of a human population should be close to 1:1.

As is shown in Table 3, the animal husbandry group (Pingyang, Jinggouzi, and Xindianzi) show a relatively imbalanced sex ratio, with more males than females. The reason for this is unclear; however, it is possible that this is the result of natural environmental and social factors. A social factor could include female infanticide, for example, if, because of needs within an animal husbandry environment, or for hunting and warfare, more men were needed to maintain production and carry out required tasks (e.g., according to historical records, only men were allowed to join in warfare) (see Zhao 2005; Gu 2010).

 Table 3
 Comparison of sex ratios among the six population groups analyzed in this study

	Pingyang	Jinggouzi	Xindianzi	Shangma	Siqi	Qucun
Sex ratio	1.32:1	1.5:1	2.2:1	1.1:1	0.93:1	1.14:1

#### **4** Conclusion

In conclusion, subsistence strategy has significant influence on demographic patterns in northern China during the Bronze Age to early Iron Age. The mortality rate of the population groups that relied on animal husbandry peaks during young adulthood. This is much earlier than among the agricultural groups (where the peak is during middle adulthood). This difference may be explained by warfare, poor natural environment, and unstable food resources, but these factors need to be further tested archaeologically. For the male populations, risk-taking behaviors may additionally count for the difference in the life expectancy between inhabitants who subsisted on animal husbandry and hunting and those who depended on farming. For females, the living conditions in animal husbandry communities may have been harder than those in agriculture communities. A lack of medical care may have led to a large number of young women's death in childbirth or postpartum, which could also result in a higher death rate in the minors group.

The estimated life expectancy of members of the agricultural economy group is longer than that of those in the animal husbandry group. The difference between the whole populations is mainly accounted for by differences between male average estimated life expectancy. Meanwhile, the animal husbandry group shows a relatively larger sex imbalance the proportion of males is much larger than the proportion of females. Further study is needed to understand the specific social and cultural contexts in order to extrapolate possible explanations for the existing sex imbalance.

Acknowledgements This study is supported by the National Social Science Foundation of China (11&ZD182) and the Graduate Innovation Fund of Jilin University, Project (2015149).

#### References

Chen, Quanjia 陈全家 2007. "Neimenggu Linxi xian Jinggouzi yi zhi xi qu mu zang chu tu de dong wu yi cun yan jiu 內蒙古林西县井沟子遗址 西区墓葬出土的动物遗存研究 (research on animal bones excavated from the Jinggouzi western sector cemetery, Linxi County, Inner Mongolia)." Neimenggu wen wu kao gu 内蒙古文物考古 2007.2: 107-118.

- Espinoza, P.O.H., and L.M. Morfin. 2015. Maya paleodemographics: What do we know? *American Journal of Human Biology* 27 (6): 747–757.
- Fan, Wenqian 范文谦, Tian, Jianwen 田建文, Xie, Xiaoting 谢尧亭, Wang, Jinping 王金平 2002. "Shanxi Houma shi Siqu mu di de fa jue 山西侯 马市虒祁墓地的发掘 (Excavation of the Siqi cemetery ,Houma, Shanxi)". *Kao gu* 考古 2002.4: 41–59, 99-103.
- Gu, Yucai 顾玉才 2010. "Neimenggu Helingeer xian Tuchengzi yi zhi Zhanguo shi qi ren gu yan jiu 內蒙古和林格尔县土城子遗址战国时期 人骨研究 (research on human skeletons of the warring states period from the Tuchengzi site, Horinger County, Inner Mongolia). Beijing: Ke xue chu ban she.
- Heilongjiang. 1990. Heilongjiang sheng wen wu kao gu yan jiu suo 黑龙 江省文物考古研究所 (1990). In *Pingyang mu zang* 平洋墓葬 (*The Pingyang cemetery*). Beijing: Wen wu chu ban she.
- Jilin 2004 Jilin da xue bian jiang kao gu yan jiu zhong xin 吉林大学边疆考 古研究中心 and Neimenggu wen wu kao gu yan jiu suo 内蒙古文物考 古研究所 (2004). "2002 nian Neimenggu Linxi xian Jinggouzi yi zhi xi qu mu zang fa jue ji yao 2002年內蒙古林西县井沟子遗址西区墓葬发 掘纪要 (Notes on the 2002 excavations of the western sector cemetery of the Jinggouzi site, Linxi County, Inner Mongolia)." Kao gu yu wen wu 考古与文物 2004.1: 6–19.
- Nagaoka, Tomohito, Sawada Junmei, and Hirata Kazuaki. 2013. Demographic and pathological characteristics of the medieval Japanese: New evidence from human skeletons from Kamakura, Japan. *Anthropological Science* 121 (3): 203–216.
- Pan, Ling 潘玲 and Lin, Yun 林沄 2002. "Pingyang mu zang de nian dai yu wen hua xing zhi 平洋墓葬的年代与文化性质 (the date and cultural nature of the Pingyang cemetery). *Bian jiang kao gu yan jiu* 边疆考古 研究 1: 194–203.
- Pan, Qifeng 潘其风 1990. "Pingyang mu zang ren gu de yan jiu 平洋墓葬人 骨的研究 (research on human bones from the Pingyang cemetery)." In Heilongjiang sheng wen wu kao gu yan jiu suo 黑龙江省文物考古 研究所, *Pingyang mu zang*,平洋墓葬 (The Pingyang cemetery), pp. 187–235. Beijing: Wen wu chu ban she.
- Pan, Qifeng. 1994. Shangma mu di chu tu ren gu de chu bu yan jiu 上马墓 地出土人骨的初步研究 (preliminary research on human bones from the Shangma cemetery). In Shanxi sheng kao gu yan jiu suo 山西 省考古研究所, Shangma mu di 上马墓地 (The Shangma cemetery), 398–483. Beijing: Wen wu chu ban she.
- Pan, Qifeng. 2000. Tianma-Qucun yi zhi xi Zhou mu di chu tu ren gu de yan jiu bao Gao 天马—曲村遗址西周墓地出土人骨的研究报告 (research report on human bones unearthed from the Tianma-Qucun western Zhou dynasty cemetery). In *Beijing da xue kao gu xi Shang* Zhou zu 北京大学考古学系商周组, Shanxi sheng kao gu yan jiu suo 山 西省考古研究所 (authors), and Zou Heng 邹衡 (editor), Tianma-

Qucun 天马- 村 (The Tianma-Qucun site), 1138–1152. Beijing: Ke xue chu ban she.

- Shang, Xiangqing 邵象清 1985. Ren ti ce liang shou ce 人体测量手册 (anthropometry handbook). Shanghai: Shanghai ci shu chu ban she.
- Shangma 1990 Shanxi sheng kao gu yan jiu suo (1990). Shangma mu di 上马墓地 (Shangma cemetery). Beijing: Wen wu chu ban she.
- Walter, Brittany S., and Sharon N. DeWitte. 2017. Urban and rural mortality and survival in medieval England. *Annals of Human Biology* 44 (4): 338–348.
- Wang, Lusi 王路思 2014. "Houma gong lu huo yun shu niu zhong xin Siqi mu di ren gu yan jiu 侯马公路货运枢纽中心虒祁墓地人骨研究 (research on human bones from the Houma Gonglu transport hub center Siqi cemetery)." M.A. thesis, Jilin University.
- White, Andrew Allen. 2014. Mortality, fertility, and the OY ratio in a model hunter-gatherer system. *American Journal of Physical Anthropology* 154 (2): 222–231.
- Wu, Rukang 吴汝康, Wu, Xinzhi 吴新智, and Zhang, Zhenbiao 张振标 1984. *Ren ti gu ge ce liang shou ce* 人体骨骼测量手册 (handbook on the measurement of human bones). Beijing: Ke xue chu ban she.
- Xindianzi 2009 Cao Jianen 曹建恩, Sun Jinsong 孙金松, and Hu Xiaonong 胡晓农 (2009). "Neimenggu Helinggeer xian Xindianzi mu di fa jue jian bao 内蒙古和林格尔县新店子墓地发掘简报 (short report on the excavation of the Xindianzi cemetery, Horinger County, Inner Mongolia)." Kao gu 2009.3: 3–14.
- Zhang, Quanchao 张全超 2005. Neimenggu Helingeer xian Xindianzi mu di ren gu yan jiu 内蒙古和林格尔县新店子墓地人骨研究 (research on human bones from Xindianzi cemetery, Horinger County, Inner Mongolia). Ph.D dissertation, Jilin University.
- Zhang, Quanchao 张全超, Jacquelin T. Eng, Wang, Lixin 王立新, and Ta La 塔拉 2008. "Neimenggu Linxi xian Jinggouzi xi qu mu di ren gu de wen ding tong wei su fen xi 內蒙古林西县井沟子西区墓地人骨的稳 定同位素分析 (Paleodiet studies using stable carbon isotopes from human bone: Example from the Jinggouzi cemetery, Linxi County, Inner Mongolia)." Bian jiang kao gu yan jiu 7: 322–327.
- Zhao, Yubao 赵玉宝 2005. Xian Qin xing bie jiao se yan jiu 先秦性别角色 研究 (gender roles in the pre-Qin period). Ph.D dissertation, Northeast Normal University (China).
- Zhu, Simei 朱思媚 and Liu, Ming 刘铭 2014. "Neimenggu Linxi xian Jinggouzi yi zhi xi qu mu zang chu tu ren gu de ren kou xue yan jiu 內蒙古林西县井沟子遗址西区墓葬出土人骨的人口学研究 (Demographic research on human bones of the western sector cemetery of the Jinggouzi site in Linxi County, Inner Mongolia)." Dong Wei董为 (editor), *Di shi si jie Zhongguo gu ji zhui dong wu xue xue shu nian hui lun wen ji* 第十四届中国古脊椎动物学学术年会论文集 (Proceedings of the Fourteenth Annual Meeting of the Chinese Society of Vertebrate Paleontology), pp. 291–298. Beijing: Hai yang chu ban she.